

Response regarding T+1 Implementation

Please send the completed response **by 30 October 2026** to T1onboarding@nordlb.de

Institution/Company: _____

Contact person: _____

E-Mail: _____

Telephone: _____

1. Allocations

The following communication channel is planned for the exchange of Allocations with NORD/LB:

- ☐ DTCC CTM
- ☐ SWIFT MT502
- ☐ Not relevant for our institution

CTM Acronym (if available): _____

ALERT Acronym (if available): _____

SWIFT BIC (if relevant): _____

2. Confirmations

The following communication channel is planned for the receipt of Confirmations:

- ☐ DTCC CTM
- ☐ SWIFT MT515
- ☐ No SWIFT/CTM access currently available; existing communication channel should initially be retained

SWIFT BIC (if relevant): _____

Please note that an appropriate RMA exchange between your institution and NORD/LB is required for the exchange of Confirmations via SWIFT.

- ☐ We are interested in future receipt of Confirmations in the XML-based ISO 20022 format (setr.027).

Designated receiving email address for XML: _____

The expression of interest in future XML-based delivery initially has no effect on the communication channels currently used.

Within this framework, we would like to inform you that information, notifications, statements, Confirmations and other documents relating to our business relationship will continue to be transmitted to the designated email address, provided that electronic provision is legally permissible. This includes the provision of documents in PDF format as well as, additionally within the scope of T+1 implementation, in a machine-readable format, especially XML. Email communication by NORD/LB takes place without special encryption. Unless otherwise agreed or objected to, we assume that you consent to this form of communication.

3. Settlement Instructions (SSI)

- ☐ Our currently valid Settlement Instructions (SSI) for securities transactions are enclosed with this response.